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Approved for use through 09/30/2000. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PACFI-001C1

First Inventor or Application Identifier Paul H. Bennett

Title TAMPER-EVIDENT CONTAINER CLOSURE

Express Mail Label No. E1531408102US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 43]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.77). EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON AT 37 C.F.R. § 1.78.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☒ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other: For CON; DIV; CIP: Extension of Time Petition (if needed)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09,014,075
Prior application information: Examiner S. Cronin Group / Art Unit 3207

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Eox 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 007663 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Atty: Mark B. Garred, Esq.
Name STETINA BRUNDA GARRED & BRUCKER
Address 24221 Calle de la Louisa, 4th Flr.
City Laguna Hills State CA Zip Code 92653-3642
Country U.S.A. Telephone (949) 855-1246 Fax (949) 855-6371

Name (Print/Type) Mark B. Garred, Esq. Registration No. (Attorney/Agent) 34,823
Signature [Signature] Date 11/16/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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PTO/SB/17 (2/98)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 380.00

Complete if Known

Application Number Unknown
Filing Date Herewith
First Named Inventor Paul H. Bennett
Examiner Name S. Cronin
Group / Art Unit 3207
Attorney Docket No. PACFI-001C1

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 19-4330
Deposit Account Name STETINA BRUNDA ET AL.

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set In 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	380
108 208	208	Design filing fee	
107 207	207	Plant filing fee	
108 208	208	Reissue filing fee	
114 214	214	Provisional filing fee	
SUBTOTAL (1)			(\$ 380.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	20	0	0
2	3	0	0
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 204	204	Multiple dependent claim, if not paid	
109 209	209	** Reissue independent claims over original patent	
110 210	210	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$ 0)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	N/A Fee Description	Fee Paid
105 205	205	Surcharge - late filing fee or oath	
127 227	227	Surcharge - late provisional filing fee or cover sheet	
139 139	139	Non-English specification	
147 147	147	For filing a request for reexamination	
112 112	112	Requesting publication of SIR prior to Examiner action	
113 113	113	Requesting publication of SIR after Examiner action	
115 215	215	Extension for reply within first month	
116 216	216	Extension for reply within second month	
117 217	217	Extension for reply within third month	
118 218	218	Extension for reply within fourth month	
128 228	228	Extension for reply within fifth month	
119 219	219	Notice of Appeal	
120 220	220	Filing a brief in support of an appeal	
121 221	221	Request for oral hearing	
138 138	138	Petition to institute a public use proceeding	
140 240	240	Petition to revive - unavoidable	
141 241	241	Petition to revive - unintentional	
142 242	242	Utility issue fee (or reissue)	
143 243	243	Design issue fee	
144 244	244	Plant issue fee	
122 122	122	Petitions to the Commissioner	
123 123	123	Petitions related to provisional applications	
126 126	126	Submission of Information Disclosure Stmt	
581 581	581	Recording each patent assignment per property (times number of properties)	
148 248	248	Filing a submission after final rejection (37 CFR 1.129(a))	
149 249	249	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

SUBMITTED BY

Typed or Printed Name Mark B. Garred, Esq.

Signature

[Signature]

Date

11/16/99

Complete (if applicable)

Reg. Number 34,823

Deposit Account User ID

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